

PAPER • OPEN ACCESS

Time-dependent runaway electron simulations: Ampere–Faraday equations implemented in CQL3D

To cite this article: R.W. Harvey et al 2019 Nucl. Fusion 59 106046

View the article online for updates and enhancements.

Nucl. Fusion 59 (2019) 106046 (9pp)

https://doi.org/10.1088/1741-4326/ab38cb

Time-dependent runaway electron simulations: Ampere–Faraday equations implemented in CQL3D

R.W. Harvey¹, Yu.V. Petrov¹, Charlson C. Kim², C.B. Forest³, L.L. Lao⁴ and P.B. Parks⁴

¹ CompX, Del Mar, CA, United States of America

² SLS2 Consulting, San Diego, CA, United States of America

³ University of Wisconsin, Madison, WI, United States of America

⁴ General Atomics, San Diego, CA, United States of America

E-mail: rwharvey@compxco.com

Received 2 July 2019 Accepted for publication 6 August 2019 Published 9 September 2019



Abstract

The runaway electron (RE) distributions driven by a large toroidal electric field induced by the drop in the temperature profile due to disruption or pellets are comprehensively simulated by the 3D Fokker-Planck (FP) solver CQL3D (Harvey and McCoy 1992 Proc. of IAEA TCM), recently coupled to the Ampere-Faraday (AF) equations. The evolution of the toroidal current in a plasma occurs on a resistive time scale, $\tau_{\rm res} = 4\pi a^2/(c^2\eta)$, which is typically of the order of seconds in present tokamaks. Here, a and η are respectively plasma radius or radial extent of a current density perturbation, and Ohmic resistivity. From the Faraday EM equation, the toroidal electric field is proportional to the time derivative of the poloidal magnetic field, which, from the Ampere equation, is proportional to the toroidal current. Thus, the toroidal electric field rapidly increases due to an abrupt temperature drop decrease in conductivity, to prevent change in the toroidal current faster than τ_{res} . This is a example of Lenz's law. For example, in simulations with KPRAD (Whyte et al 2003 J. Nucl. Mater. 313-6 1239) of neon pellet injection into a DIII-D shot, T_e drops from 2 keV to 10 eV in 0.1 ms and Z_{eff} increases 1–4, giving that the electric field increases $3500 \times$ to 0.8 V cm⁻¹. As described in Harvey et al (2000 PoP 7 4590), this places much of the tail electron distribution beyond the Dreicer runaway velocity, giving so-called 'hot-tail runaways' which for a time are the dominant source of runaways, more so than the knockon source. In this prior calculation, performed for a single flux surface, the toroidal current density is held constant, on the basis that $\tau_{\rm res}$ is large. Most of the initial current can be converted to runaway current, which is then dangerous, particularly for ITER. A more comprehensive A-F model recently implemented in CQL3D, taking into account the time-development of the full-plasma-width toroidal electric field on time-scales of order τ_{res} applies an iterative technique for the toroidal field previously developed for a different application (Kupfer et al 1996 PoP 3 3644), maintaining the implicit-in-time evolution of CQL3D. The degree of runaway current formation is reduced in AF augmented CQL3D, but the basic mechanism of 'hot-tail runaways' remains a dominant contribution to the REs at early times after the $T_{\rm e}$ drop in these simulations. On the other hand, a NIMROD (Sovinec et al 2004 J. Comput. Phys. 195 355) simulation of shattered-pellet shutdown of DIII-D plasma (Kim 2018 APS/DPP Meeting), gives a slower thermal quench;

Original content from this work may be used under the terms of the Creative Commons Attribution 3.0 licence. Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI. when the plasma profiles and electric field are coupled one-way to CQL3D, the 'hot-tail' REs are much less, and growth of RE is dominated by the knockon process.

Keywords: runaway electrons, Fokker-Planck, simulation, Ampere-Faraday equations

(Some figures may appear in colour only in the online journal)

1. Introduction

Runaway electron (RE) distributions in tokamaks can be induced by the large toroidal electric field resulting from a sudden drop in the temperature profile due to disruption, pellet injection, or massive gas injection. Such REs can be extremely dangerous to the machine vacuum vessel, and are considered a major, only partially resolved issue for the ITER tokamak. The CQL3D Fokker–Planck (FP) code provides a comprehensive computational model for time-dependent bounce-averaged (BA) electron and ion distribution functions in tokamaks, and in particular for REs and their interactions with radiowaves [1]. In this paper, we present first results of coupling the FP code to the Ampere–Faraday (AF) equations for the self-consistent, time-dependent toroidal electric field.

In the present paper, application to RE modeling is made using the zero-orbit-width (ZOW) version of the finite-difference continuum CQL3D. Averaging the relativistic Boltzmann kinetic equation over gyro-angle, toroidal angle, and bounceperiod gives the 3D evolution equation for plasma distribution function to be solved:

$$\frac{\partial}{\partial t} \left(\lambda f_0 \left(u_0, \theta_0, \rho, t \right) \right) = \nabla_{u_0} \cdot \Gamma_{u_0} + \lambda \left\langle \left\langle R \left(f_0 \right) \right\rangle \right\rangle + \lambda \left\langle \left\langle S \right\rangle \right\rangle, (1)$$

$$\nabla_{\boldsymbol{u}_{0}} \cdot \boldsymbol{\Gamma}_{\boldsymbol{u}_{0}} = C(f_{0}) + Q(f_{0}) + E(f_{0}) + H(f_{0})$$
(2)

where f_0 is the electron distribution as a function of (u_0, θ_0) , the momentum-per-mass and pitch angle at the minimum-B point on each flux surface, ρ the generalized radial coordinate, and t the time. Jacobian $\lambda = v_{\parallel 0} \tau_{\rm b}$ accounts for the volume of the flux surface occupied per perpendicular area at the midplane. $v_{\parallel 0}$ is parallel speed, and $\tau_{\rm b}$ the bounce period. The midplane quantities u_0 , θ_0 , ρ form the constants of motion for the ZOW orbits given by $u(s) = u_0$, $\sin^2(\theta(s)) = (B(s)/B(s=0))\sin^2(\theta_0)$, where s is distance along a magnetic field line measured from the minimum-B point. The Liouville theorem gives that f(u(s)), $\theta(s), \rho = f_0(u_0, \theta_0, \rho)$. The $\langle \langle \ldots \rangle \rangle$ represents bounce-averaging (BA) along the trapped or passing orbit in the tokamak given by the COM equations [1, 2]. Operators R and S represent a divergence free radial diffusion and pinch operator, and particle sources including the large-angle scattering knockon operator, and velocity-dependent sinks. Operator C is the fully relativistic BA nonlinear collision operator [3], although usually the quasi-relativistic operator is sufficient [1, 4, 5]; Qis the full BA Stix OL relativistic operator [6]; E is the BA toroidal electric field term; and H is the BA synchrotron and bremsstrahlung radiation term. Units are cgs Gaussian, except convenient units are used in the figures.

These equations were used in [7, 8] to demonstrate on a single flux surface that 'prompt runaway' could dominate the production of REs during the thermal quench phase, and for some time afterwards, as opposed to Dreicer 'drizzle' and knockon produced REs. The thermal quench was based on KPRAD modeling [9] of n_e , T_e , T_i , Z_{eff} time-dependent profiles. Also, relatively small levels of stochastic magnetic field induced radial diffusion could terminate buildup of large RE populations. In carrying out these calculations, the plasma current density was kept constant in accord with Lenz's Law, by iteratively adjusting the toroidal electric field.

The bulk, low-velocity portions of the distribution functions are maintained in sync with the background densities and temperatures from, for example, KPRAD, by a 'partially nonlinear' procedure [1, 7, 10] applied to the P_0 -expansion term in the Legendre expansion of the $f_e u_0$ -dependence. That is, the collision coefficients are obtained at each radius from a Legendre polynomial expansion of the f_e in pitch angle. The partial linearization refers to resetting the P_0 expansion term, the pitch angle average of the distribution at each u_0 , to a specified Maxwellain distribution. This simulates the effects of transport processes on the bulk, low-velocity plasma, but does not change the plasma current carried by the distribution.

In the present paper, the previous calculations [7] are extended to full plasma radius. With the new AF addition to CQL3D, the current is allowed to relax self-consistently by solving the FP equation simultaneously with the toroidal electric field for the AF equations. This enables comparison with the prior single flux surface runs. For comparison purposes, a background plasma evolution is assumed, applying the single surface time-dependence [7] at all plasma radii. As a result, the toroidal current is found to be reduced considerably during and immediately after the thermal quench. Nevertheless, the 'prompt runaway' process remains a dominant source of RE seed current, and leads to a current quench phase. Small levels of magnetic turbulence are required in order to terminate the RE buildup.

In the following, the AF equations are given, and the method for obtaining a time-implicit solution simultaneously with the CQL3D code is indicated. To the authors' knowledge, this feature is new in continuum FP modeling. A simultaneous solution for RE kinetics with AF equations was previously investigated with the ARENA code using a Monte Carlo approach for the electron modeling; the authors stressed that the simultaneous solution was crucial for accurate RE simulations [10], that is, the constant current density assumption such as used in [1] may not be well satisfied.. In the following, a verification of the combined CQL3D-AF solution is given, as compared to a simple constant-current simulation

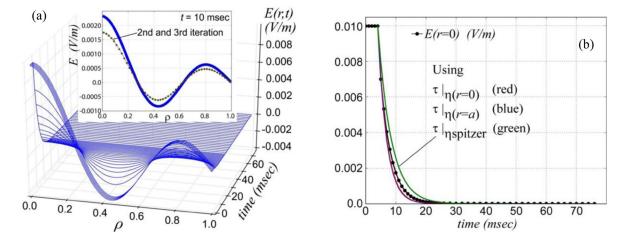


Figure 1. (*a*) Space-time plot of $E_z(\rho, t)$ from simultaneous solution of CQL3D and AF equations, with an insert indicating convergence of the solution at each time-step. (*b*) Comparison of the central electric fields from CQL3D-AF with curves derived from central, edge, and Spitzer resistive times.

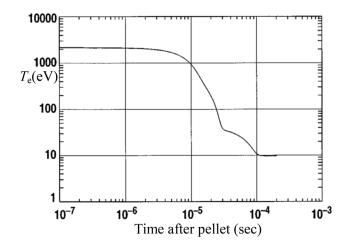


Figure 2. $T_{\rm e}$ versus time after the pellet.

of [1]. That is, a time-dependent, full-radius simulation of thermal- and early current-quench phase is given. In addition, preliminary results are given for one-way coupling of the NIMROD MHD code [11, 12] to CQL3D, and subsequent knockon induced avalanche.

In summary, two new aspects of RE simulations reported here are: (1) The calculation of a simultaneous solution of the 2 V-and-radius continuum BA FP RE distributions with CQL3D including, crucially, the simultaneous solution of the AF equations for the toroidal electric field; and (2) first coupling of CQL3D to the NIMROD time-dependent MHD code, providing an alternative calculation of the toroidal electric field.

2. Solving AF equations for the toroidal electric field

The evolution of the toroidal current in a plasma occurs on a resistive time scale, $\tau_{\rm res} = 4\pi a^2/(c^2\eta)$, which is typically of the order of seconds in present tokamaks. Here, *a* and η are respectively plasma radius or radial extent of a current density

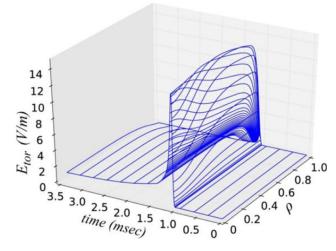


Figure 3. Toroidal electric field versus time and plasma radius, for a DIII-D equilibrium. A steady electric field is established in the 1st ms. Of the simulation, for the constant, pre-pellet plasma density and temperature, which is radially constant at the values given in figure 2. As the plasma background is varied as in figure 2, starting at t = 1.0 ms, the edge electric field is held constant.

perturbation, and Ohmic resistivity. From the Faraday electromagnetic equation, the toroidal electric field is proportional to the time derivative of the poloidal magnetic field, which, from the Ampere equation is proportional to the toroidal current. Thus, the toroidal electric field increases due to rapid temperature drops, to prevent change in the toroidal current faster than $\tau_{\rm res}$. For example, in simulations with KPRAD of neon pellet injection into a DIII-D shot, T_e drops from 2 keV to 10 eV in 0.1 ms and Zeff increases from 1 to 4 [7], giving that the electric field increases $3500 \times$ to 0.8 V cm⁻¹. As described in [7], this rapid cooling of the bulk electrons results in much of the less-collisional tail electron distribution being beyond the runaway velocity, giving so-called prompt 'hot-tail runaways' which for a time are the dominant source of runaways, more so than the knockon source and Dreicer 'drizzle'. In this prior calculation, performed for a single flux surface, the toroidal current density is held constant, on the basis that

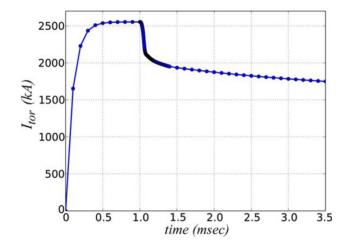


Figure 4. Toroidal current driven by the electric field, versus time. A near constant value is established in the time up to 1 ms. As T_e drops from 2.5 keV due to the pellet introduced at t = 1 ms, at first the current decreases on a shortened resistive time scale. But as the runaway current sets in, increasing τ_{res} , the current decay time is greatly extended giving the slow current quench phase.

 $\tau_{\rm res}$ is large. Most of the initial current can be converted to runaway current. This process is described more comprehensively by the AF equations coupled to CQL3D.

The Faraday and Ampere equations written in toroidally symmetric geometry can be cast, respectively, as

$$V_{\text{loop}}(\rho) = V_{\text{loop}}(a) - \frac{1}{c} \frac{\partial}{\partial t} \int_{a}^{\rho} \boldsymbol{B}_{\boldsymbol{\theta}} \cdot d\boldsymbol{A}_{\boldsymbol{\theta}}, \qquad (3)$$

$$\oint \boldsymbol{B}_{\boldsymbol{\theta}} \cdot \mathrm{d}\boldsymbol{l}_{\boldsymbol{\theta}} = \left(\frac{4\pi}{c}\right) \int_{0}^{\rho} \boldsymbol{J}_{\phi} \cdot \mathrm{d}\boldsymbol{A}_{\phi}.$$
(4)

Where V_{loop} is loop voltage at each flux surface obtained from the edge value and time-derivative of the poloidal flux between the plasma edge and ρ , and the poloidal magnetic field is given by the integral of the toroidal current up to radius ρ . Using RB_{ϕ} is constant on a flux surface, and that the flux surface shapes are constant in time, $E_{\phi}(\rho, \theta_{\text{pol}}) = E_0(\rho)R_{\text{mag}}/R$, then equations (3) and (4) can be written in terms of $B_{\theta 0}$, $J_{\parallel 0}$ evaluated at the outer midplane of the flux surfaces. The quantity $J_{\parallel 0}$ is obtained from the parallel velocity moment of the CQL3D distribution f_0 . A simultaneous fully implicit solve of the combined equations is computationally very difficult, since the 2D momenta and 1D radius are all coupled through the AF equations, giving typically a 10⁶ square matrix to be inverted which is very large. On the other hand, the explicitin-time solution for E_0 is numerically unstable [11].

An iterative method has been used, suggested by an approach developed by Kupfer [14] in a somewhat different context. The advanced time electric field is expanded as $E^{n+1} = E^n + \Delta E$ where in general ΔE will be small, and similarly the time advanced distribution function is expanded proportional to ΔE . Amazingly, this divides solutions of the FP equation and the AF equations into separate time-implicit solves, the latter being tridiagonal. Typically, two iterations

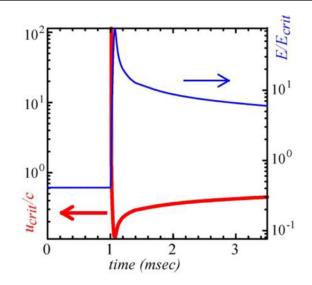


Figure 5. Time traces of the the critical runaway velocity, and the electric field normalized to the critical runaway field, at r = 0.5a.

are sufficient per time-step for convergence. This gives only a factor ~2 increase in the computer time required for time stepping the combined 3D FP-AF equations.

A verification test has been constructed for the combined CQL3D-AF comparing the computed total current and onaxis electric field from the space-time solution with analytic solutions for normal modes of AF in a uniform cylinder. For uniform cylindrical geometry, the AF equation reduces simply to

$$\frac{4\pi\sigma}{c^2}\frac{\partial E_z}{\partial t} = \frac{1}{r}\frac{\partial}{\partial r}\left(r\frac{\partial E_z}{\partial r}\right), \text{ solved by } E_z\left(r,t\right) = \sum_k A_k J_0\left(\lambda_k r\right)$$
$$\exp\left(-\frac{\lambda_k^2 t}{4\pi\sigma/c^2}\right), \text{ with } \frac{\partial E_z}{\partial r}\Big|_{r=0} = 0.$$

For BC $E_z(r = a, t) = 0$, the λ_k are the *k*th zeros of the Bessel function. Thus solutions $J_0(\lambda_k r)$ will exponentially decay with time constant $\tau = 4\pi\sigma/(c^2\lambda_k^2)$. The straight cylinder is approximated in CQL3D by a large, but not infinite aspect ratio torus (R/a = 1400). Conductivity σ is the Spitzer value, and in CQL3D calculated from solution of the FP equation. In figure 1, a comparison between the analytic and CQL3D solution is shown for decay of the k = 3 mode (i.e. with $A_3 = 1$ and $A_i = 0$ for j not equal to 3). Figure 1(a) shows the space-time variation of E_z from CQL3D, with an insert showing convergence of the solution in two steps. Figure 1(b)gives the CQL3D-AF time-decay of the central $E_z(r = 0,t)$ (the dotted solid line) in comparison with the analytic decay expression using conductivity according to Spitzer (upper, green curve), and according to CQL3D at r = 0 and at r = a. There is only a small variation of conductivity versus r/a. The central CQL3D conductivity agrees reasonably well with Spitzer, and CQL3D-AF agrees well using its internally calculated conductivity. This is taken as a good verification of the CQL3D-AF code.

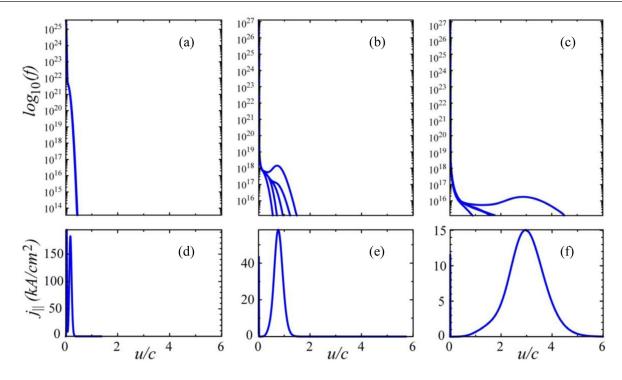


Figure 6. (*a*)–(*c*) Cuts versus *u/c* through the electron distribution at constant pitch angle (II, anti-II, \perp , *t*–*p*, θ -avg) at times 0.03, 0.20, and 3.5 ms after pellet initiation. (*d*)–(*f*) Corresponding specific parallel current versus *u/c*. Current density is $\int j_{\parallel} dx$, where $x = u/u_{\text{norm}}$, $u_{\text{norm}} = 196c$. Plasma radius is 0.5*a*. The near vertical lines at $u/c \sim 0.0$ are the thermal distribution which is near-Maxwellian, and the thermal current contribution; they are fully resolved in the code, by packing the mesh geometrically towards u = 0.

3. RE calculation due to pellet-induced temperature drop across the whole plasma radius: AF $E(\rho, t)$ solution

In [7], prompt 'hot-tail' REs remaining when the plasma cools faster than the tail electron collision time, were identified as a major source of REs, either as the seed for a knockon avalanche, or the main source of REs. The electric field driving this phenomena was determined by holding the total current density constant during the T_e -drop simulation, in general accord with Lenz's Law, an approximation which will overestimate the strength of the induced toroidal electric field. Rather, the current density will decrease for a resistive time, which is becoming shorter as T_e decreases, until the highly conductive REs appear. This phenomena was examined on a single representative plasma flux surface. It was also shown that small levels of radial diffusion could cause sufficient radial transport of the REs to dissipate them to the chamber wall or divertor.

The single flux-surface simulations [7], were based on background plasma evolution given by the KPRAD [9] pellet code. The electron temperature dropped from 2 keV to 10 eV in 0.1 ms, and then held steady, as shown in figure 2. Also, during this period, the electron density increased from 7×10^{13} to 2×10^{14} cm⁻³, and Z_{eff} from 1 to 4. To compare with [7], and as an early application of the CQL3D-AF code, this time-dependence of background $T_e/n_e/Z_{\text{eff}}$ is kept the same across the entire plasma radial profile in the present full-radius modeling. For an initial 1.0 ms before the pellet plasma variation begins, a steady toroidal current at a DIII-D-like value is established through application of a constant in radius and time toroidal electric field in the $T_e = 2.0 \text{ keV}$ plasma, with no simultaneous AF equation solve. Large time-steps, 0.1 ms, are used in this phase, well-beyond the collision time, giving essentially an iteration to near steady-state electron distributions under the influence of the initial applied steady electric field; the procedure is numerically stable at such large time steps in view of the fully time-implicit nature of the numerical solution. The AF equation solve, simultaneous with the rest of CQL3D, is turned on at $t = 1.0 \,\mathrm{ms}$ in the simulation, and the plasma parameters are varied throughout as in figure 2. This is a model problem, for comparison with [7], in which background plasma profiles are constant versus radius. The boundary condition for the toroidal electric field at the plasma edge is that it is held constant at the established low value at t = 1 ms. (Future work will include choosing a boundary electric field consistent with the presence of a conducting vacuum vessel, and evolving plasma equilibrium. One approach is by coupling to an MHD code, as discussed below.)

The calculated toroidal electric field is shown in figure 3, and the corresponding total current in figure 4. The large E_{ϕ} spike is induced as a result of the sharp pellet-induced T_{e} decrease across the plasma radius. The total current decreases by ~20%, with a resistive time ~0.5 ms during the T_{e} -drop, and then, as the prompt 'hot-tail runaway' distribution is established, the plasma conductivity greatly increases and the slow current quench phase begins. We emphasize that the solution is for the whole velocity range of the distribution at each radius, from v = 0, v_{max} , where v_{max} is generally taken to be large enough that there is negligible flux off the edge of the velocity mesh. Thus the electrical conductivity includes the effect of both the near-thermal electrons and the REs.

A critical quantity for both the RE process and the possibility of a deleterious knockon avalanche of electrons is the ratio of electric field to critical field for electron runaway, $E_{\rm crit} = 4\pi n_{\rm e} e^3 \ln(\lambda) / (m_{\rm e} c^2)$. The critical momentum-per-mass, above which the electric field force exceeds electron Coulomb drag and electrons thus runaway, is $u_{crit}/c = 1/(E/E_{crit} - 1)^{1/2}$; this quantity is shown in figure 5 which particularly illuminates the hot-tail runaway phenomena. E/E_{crit} has jumped up to 75 with the $T_{\rm e}$ -drop, and consequently the critical velocity has dropped to ~0.1c in less than 0.07 ms, whereas $4v_{\text{Te}}$ electrons in the initially $T_e = 2 \text{ keV}$ electron distribution have collisional slowing down time ~0.08 ms, giving the 'hot-tail' REs. If more current were lost during the fast $T_{\rm e}$ -drop than in figure 4, then u_{crit} would be larger, and the 'hot-tail runaways' exponentially less and hence would form a smaller runaway population and seed population for a possible knockon avalanche. The formation of a substantial hot-tail runaway population is evidently a very sensitive function of the thermal quench dynamics, and warrants detailed studies.

Figure 6 shows cuts through the electron distribution at constant pitch angle on the top row, at three times as the runaway develops, and shows the specific parallel current versus u/c on the bottom row. At the T_{e} -drop, the current becomes almost entirely carried by the prompt 'hot-tail runaway' electrons, as shown in figures 6(a) and (d). The near vertical line at u/c~ 0.0 is the thermal distribution and thermal current contribution. The clump of REs advects to higher velocity and energy with time, as shown in the remaining subplots. The electric field at the end of the run is $\sim 0.01 \text{ V cm}^{-1}$. Electrons at speed c, gain energy 0.3 MeV ms⁻¹, giving $du/c = dE/(mc^2) = 0.6/$ ms, consistent with the acceleration shown in the figure. In the present simulation, the maximum energy, 100 MeV, corresponds to $u/c \sim 200$, and thus virtually all electrons remain on the grid. These simulation results also reinforce the point first made in [11] with the ARENA code, that a comprehensive simulation of disruption RE production requires the simultaneous solution of the electron kinetic and AF equations.

The space-time evolution of runaway current j_{run} , defined as that portion of the current from electrons at $u \ge u_{crit}$, is given in figure 7. At the larger times shown, j_{run} is eroding at the plasma edge, consistent with resistive time $\tau_{\rm res} = 4\pi L^2/$ $(c^2\eta_{\parallel}) = 0.5 \,\mathrm{ms}$, using scale $L = 10 \,\mathrm{cms}$; and is concentrating slowly towards the plasma center, consistent with $\tau_{\rm res} = 200\,{\rm ms}.$

The robustness of the above RE production against stochastic magnetic field fluctuation radial diffusion has been examined for two values of $D_{rr0} = 1$ and 10 m² s⁻¹, applying electron radial diffusion $D_{rr} = D_{rr0} \times (v_{\parallel}/v_{th0})$ across the discharge, in accord with Rechester and Rosenbluth [15]. These values correspond to magnetic fluctuation levels $\delta B/B = 0.04\%$ and 0.08%. Both cases result in dissipation of the RE discharge, in 2 ms and 9 ms respectively. That is, it still holds [7] that small levels of stochastic fields, if they extend throughout the radial cross-section, can empty the REs to the plasma edge. However, some modeling indicates only limited radial extent of the stochastic regions, and only for a limited

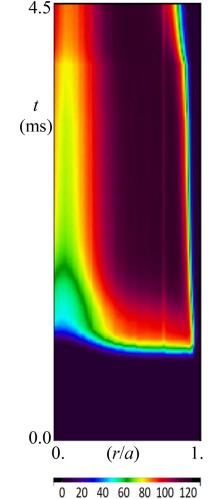
R.W. Harvey et al

Figure 7. Runaway current density versus radius and time. With time, current is increasing near r = 0, and eroding at the plasma edge.

post-thermal-quench period [16, 17]. This will be addressed in future work, through the coupling with NIMROD.

The knockon source term [7, 8] is included in these calculations. From figure 8(a) showing the velocity-space source at radius r = 0.5a at 1 ms after the pellet, about half the knockon source electrons are born as trapped particles. The pitch angle average source [7] in figure 8(b) exhibits the classical exponential fall-off with energy. At the minimum $u_{crit}/c = 0.1$ just after the pellet, this velocity and the prevailing plasma parameters give pitch angle scattering time $\tau_{ei} = 1.3 \ \mu s$ which is much less than the RE evolution time. Thus, the pitch angle structure of the knockon source will be smoothed out by collisions. Similarly, at later times with typical $u_{crit}/c = 0.4$ the scattering time is still small.

In the present simulation, the knockon source of particles is small compared to the prompt 'hot-tail' electrons. The knockon electron growth rate is $\gamma_0 \sim 2(E/E_{crit}) \text{ s}^{-1}$ in the postpellet plasma, giving at this time in the simulation an inconsequential maximum rate of $140 \,\mathrm{s}^{-1}$; it was larger, $460 \,\mathrm{s}^{-1}$, in [7], but still inconsequential. Apparently the knockon source will become important in cases of substantially slower thermal quench, such as in the following preliminary CQL3D



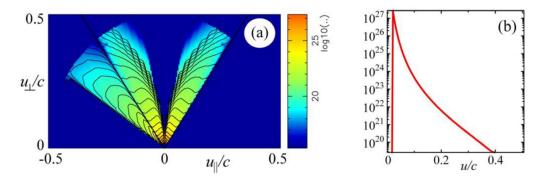


Figure 8. (*a*) 2D knockon source at r = 0.5a, t = 1.5 ms post-pellet. (*b*) Pitch angle average knockon source.

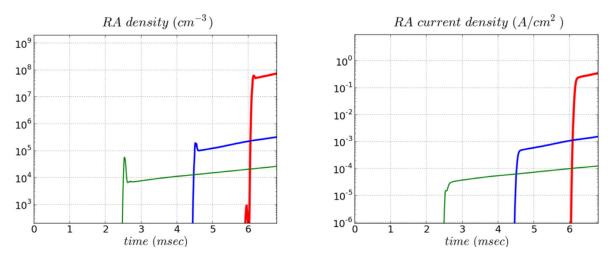


Figure 9. Coupling of CQL3D with a NIMROD shattered pellet simulation gives an exponential growth of RE density and current in general accord with the knockon growth rate. $(E/E_{crit}) \sim 500$ during the growth phase, giving growth rate ~1/s. The green curves are at r = 0.75a, the blue at 0.52a, and the red at 0.25a, and the start-times reflect the progress of the pellet into the plasma. Prompt 'hot-tail' REs provide an initial knockon current growth, although is this case the initial RE current density is a very small fraction of the total.

modeling with NIMROD plasma parameters and electric field. In addition in the present simulation, bremmstrahlung, gyro-, and toroidal-geometry synchrotron radiation are all small.

4. Computations discussion

In addition to the new, effectively time-implicit, simultaneous solution of the AF equations, several features of CQL3D enable the efficient solution for RE dynamics. Variable grid spacing in momentum-per-mass space facilitates the solution on a single mesh for both the very low temperature post-disruption electrons along with the energetic RE tail to 100 MeV and beyond. A geometric mesh in *u* is used for this. Geometric packing of the grid in pitch angle is also used, in this case to increase resolution in the parallel direction. Use of a 'quasirelativistic' operator [4], which has been benchmarked against the fully relativistic operator for non-relativistic bulk plasma [5], greatly speeds and simplifies the computations. Computer cpu time per time-step/per radial point, is typically ~1s. Variable time-step facilitates can be used to keep the time-step shorter than the solution time-scales of interest; alternatively, large time steps provide a means to iterate to steady-state solutions in 5---0 steps. The open-software SPARSKIT [18] sparse matrix GMRES solver is used for the fully-implicit 3D solves requiring only 5.0h single processor cpu time for 4.5 ms simulation with 350 *u*-, 80 θ -, and 51 radial- mesh points, and 161 time steps. MPI parallelization over flux surface grid points is implemented for cases without radial diffusion. A parallelized GMRES is being investigated for cases with radial diffusion. The code is open-source [19].

Because of the crucial nature of controlling REs for the safety of ITER, there are many recent calculations on this topic, for example, [20–22]. The major differences between the calculations reported here and in these references are that a 2D-in-v is used for the complete BA electron velocity distribution here, as compared to a lumped density and a 1D-in-v FP distribution of the REs in [20, 21] and a 2D-in-v, no-BA, high-velocity limit FP solution in [22]. And, in [20, 21], the solution of AF equations for $E_{\phi}(\rho,t)$ is accomplished using the more limited lumped-RE/1-v FP solution, whereas in [22], a constant current density approximation, as in [7], is made. The treatment of the thermal quench distribution dynamics reported here is thus more complete. On the other hand, [20, 21] are coupled to equations for the radial transport of plasma energy, whereas the calculations reported above are based on the 0-radial-D KPRAD solution extended across the plasma radius. Aleynikov and Breizman [22] includes important scattering of REs on screened high-Z nuclei [23-25], not

yet added to CQL3D, but particularly important in the current quench phase. By comparison, CQL3D includes important radial transport of the whole distribution function. For these several RE models, there is also a need for validated models for coupling to space-time dependent impurity deposition from disruption or mitigation scenarios.

5. One-way coupling of nimrod to CQL3D

The CQL3D-AF model has not (yet) included motion of the equilibrium. This is a crucial feature for RE modeling which is being addressed by coupling to the NIMROD 3D extended MHD and time-dependent transport code [12], including a shattered pellet deposition model [13]. Thus far, an initial one-way coupling has been accomplished, that is, NIMROD provides plasma profiles and toroidal electric field [12, 13], and these quantities have been used to drive the CQL3D code. The NIMROD code has included the AF equations assuming Spitzer plasma conductivity, but does not include REs which increase the conductivity and would slow down the thermal quench reduction in plasma current and give an extended current quench. In the detailed Kim [13] fractured Ne pellet modeling, the thermal quench time au_{quench} is reduced from the KPRAD derived time ~0.1 ms shown as in figure 2, to 2.5 ms. As shown in figure 9, from CQL3D with NIMROD profile data, this gives very small prompt 'hot-tail' electrons, as expected, given the sensitivity of this process. The E/E_{crit} ratio is ~500 across most of the plasma, giving knockon growth rate ~0.5/ ms at the prevailing density and $Z_{\rm eff}$. The central runaway current is 10^{-3} of the total current density, 10^{-5} at r = 0.5a, and 10^{-8} at r = 0.75a, indicating that the knockon avalanche will concentrate the current in the plasma center. When the CQL3D runaway current is coupled back to NIMROD, it will slow down the plasma current evolution, giving the current quench.

6. Summary and conclusions

This paper has presented a new, comprehensive continuum BA nonlinear 3D FP computation of RE dynamics including crucial CQL3D coupling with the AF equation for the self-consistent toroidal electric field, and radial diffusion and pinch of the distribution. Both the thermal and slower current quench RE phases are modeled. The code is computationally efficient, and affords a basis for broad investigation of RE scenarios. A particular sensitivity of the fraction of plasma current drop during the thermal quench, to the rate of the thermal quench is indicated. First results of a one-way coupling of time-dependent data from the NIMROD MHD code into CQL3D are also given, and show much slower thermal quench than was obtained with the original KPRAD based modeling. Improved models of the physics of the thermal quench (disruption, pellet ablation, massive gas injection) will be required to accurately estimate the resistive drop in the plasma current during the thermal quench; the heat energy is thereby radiated by plasma impurities rather than the magnetic field energy going into the REs, and optimization of this effect will mitigate RE machine damage.

Acknowledgments

This work is supported by the US Dept. of Energy, Office of Fusion Energy Research, under grant DE-FG02-04ER54744 (CompX Theory), and DE-SC0016452 (GA SCREAM), DE-FG02-95ER54309 (GA Theory). RWH benefited from conversations with Dr. Egbert Westerhof (FOM, Netherlands) regards the difficulties in coupling the FP distribution function calculation to a self-consistent toroidal electric field calculation.

References

- Harvey R.W. and McCoy M.G. 1992 The CQL3D Fokker– Planck code *Proc. of IAEA TCM (Montreal, June 15–18* 1992) (www.compxco.com/cql3d_manual.pdf)
- [2] Kerbel G.D. and McCoy M.G. 1985 Phys. Fluids 28 3629
- [3] Bramms B.J. and Karney C.F.F. 1989 Conductivity of a relativistic plasma *Phys. Fluids* B1 1355
- [4] Franz M.R. 1987 The numerical solution fo the relativistic bounce-averaged Fokker–Planck equation for a magnetically confined plasma UCRL-96510 Report LLNL
- [5] Petrov Y.V. and Harvey R.W. 2009 Benchmarking the fully relativistic collision operator in CQL3D *Report CompX-2009-1*, CompX, Del Mar, CA (www.compxco.com/ CompX-2009-1_Fully-Rel.pdf)
- [6] Stix T.H. 1992 Waves in Plasmas (New York: AIP)
- [7] Harvey R.W., Chan V.S., Chiu S.C., Evans T.E. and Rosenbluth M.N. 2000 Runaway electron production in DIII-D experiments, calculated with the CQL3D/KPRAD model *PoP* 7 4590
- [8] Chiu S.C., Rosenbluth M.N., Harvey R.W. and Chan V.S. 1998 Fokker–Planck simulations of knock-on electron runaway avalanche and bursts in tokamaks *Nucl. Fusion* 38 1711
- [9] Whyte D.G. *et al* 2003 Disruption mitigation with highpressure noble gas injection J. Nucl. Mater. 313–6 1239
- [10] Harvey R.W., Marx K.D. and McCoy M.G. 1981 Nonlinear Fokker–Planck studies of RF current drive efficiency *Nucl. Fusion* 21 153
- [11] Eriksson L.-G. and Helander P. 2003 Simulation of runaway electrons during tokamak disruptions *Comput. Phys. Commun.* 154 175
 - Eriksson L.-G., Helander P., Andersson F., Anderson D. and Lisak M. 2004 Current dynamics during disruptions in large tokamaks *Phys. Rev. Lett.* **92** 205004
- [12] Sovinec C.R. *et al* 2004 Nonlinear magnetohydrodynamics simulation using high-order finite elements *J. Comput. Phys.* **195** 355
- [13] Kim C.C. 2018 Shattered pellet injection simulations with NIMROD APS/DPP Meeting (Portland, 5–9 Novomber 2018)
- Kim C.C. 2018 personal communication
- [14] Kupfer K. et al 1996 Kinetic modeling of scrape-off layer plasmas Phys. Plasmas 3 3644
- [15] Rechester A.B. and Rosenbluth M.N. 1978 Electron heat transport in a tokamak with destroyed magnetic surfaces *Phys. Rev. Lett.* **40** 40
- [16] Isso V.A. *et al* 2011 Runaway electron confinement modelling for rapid shutdown scenarios in DIII-D, alcator C-Mod and ITER *Nucl. Fusion* 51 063032
- [17] Sommariva C. *et al* 2018 Electron acceleration in a JET disruption simulation *Nucl. Fusion* 58 106022
- [18] Saad Y. 2003 Iterative Methods for Sparse Linear Systems (Philadelphia, PA: SIAM) (www-users.cs.umn.edu/~saad/ IterMethBook_2ndEd.pdf)

- [19] A CQL3D Fokker-Planck code repository: www.github.com/ compxco
- [20] Gal K., Feher T., Smith H., Fulop T. and Helander P. 2008 Runaway electron generation during plasma shutdown by killer pellet injection *Plasma Phys. Control. Fusion* **50** 055006
- [21] Feher T., Smith H.M., Fulop T. and Gal K. 2011 Simulation of runaway electron generation during plasma shutdown by impurity injection in ITER *Plasma Phys. Control. Fusion* 53 035014
- [22] Aleynikov P. and Breizman B.N. 2017 Generation of runaway electrons during the thermal quench in tokamaks *Nucl. Fusion* 57 046009
- [23] Aleynikova K.O., Aleynikov P.B., Konovalov S.V., Teplukhina A.A. and Zhogolev V.E. 2013 Interaction of

runaway electrons with high-Z impurities 40th EPS Conf. (Espoo, Finland, July 1–5 2013) O5.103 (http://ocs.ciemat. es/EPS2013PAP/pdf/O5.103.pdf)

- [24] Aleynikov P., Aleynikova K., Breizman B., Huijsmans G., Konovalov S., Putvinski S. and Zhogolev V. 2014 Kinetic modelling of runaway electrons and their mitigation in ITER 25th IAEA fusion Energy Conf. (St. Petersburg, Russia, October 13–18 2014) p TH/P3-38 (www-naweb. iaea.org/napc/physics/FEC/FEC2014/fec_sourcebook_ online.pdf)
- [25] Hesslow L., Embreus O., Wilkie G.J., Papp G. and Fulop T. 2018 Effect of partially ionized impurities and radiation on the effective critical electric field for runaway generation *Plasma Phys. Control. Fusion* **60** 074020